

amended, we are forwarding Transmittal No. 21-0J. This notification relates to enhancements or upgrades from the level of sensitivity of technology or capability described in the Section 36(b)(1) AECA certification 13-40 of June 27, 2013.

Sincerely,

HEIDI H. GRANT,
Director.

Enclosures.

TRANSMITTAL NO. 21-0J

Report of Enhancement or Upgrade of Sensitivity of Technology or Capability (Sec. 36(B)(5)(C)), (AECA)

(i) Purchaser: Government of France.

(ii) Sec. 36(b)(1), AECA Transmittal No.: 13-40; Date: June 27, 2013; Military Department: Air Force.

(iii) Description: On June 27, 2013, Congress was notified by Congressional certification transmittal number 13-40 of the possible sale, under Section 36(b)(1) of the Arms Export Control Act, of sixteen (16) MQ-9 Reaper Remotely Piloted Aircraft; eight (8) Mobile Ground Control Stations; forty-eight (48) Honeywell TPE331-10T Turboprop Engines (16 installed and 32 Spares); twenty-four (24) Satellite Earth Terminal Substations; forty (40) Ku Band Link-Airborne Communication Systems; forty (40) General Atomics Lynx (exportable) Synthetic Aperture Radar/Ground Moving Target Indicator (SAR/GMTI) Systems; forty (40) AN/DAS-1 Multi-Spectral Targeting System (MTS)-B; forty (40) Ground Data Terminals; forty (40) ARC-210 Radio Systems; forty (40) Embedded Global Positioning System/Inertial Navigation Systems; and forty-eight (48) AN/APX-119 and KIV-119 Identify Friend or Foe (IFF) Systems. Also included were spare and repair parts; communication, test, and support equipment; publications and technical documentation; airworthiness and maintenance support; site surveys and bed down planning; personnel training and training equipment; operational flight test; U.S. Government and contractor technical and logistics personnel services; and other related elements of logistics support. The estimated cost was \$1.5 billion. Major Defense Equipment (MDE) constituted \$765 million of this total.

On July 26, 2018, Congress was notified by Congressional certification transmittal number 18-0B of the retrofit of MQ-9s to become weapons capable, and the inclusion of one hundred (100) GBU-49 Enhanced Paveway dual mode GPS and laser guided bomb kits comprised of MXU-650 Air Foil Group (AFG) and MAU-210 Enhanced Computer Control Group (ECCG); two hundred (200) FMU-152 fuzes; six hundred fifty (650) AGM-114R Hellfire missiles, with active warheads; forty-five (45) AGM-114R Hellfire training missiles, without active warheads; and six (6) Hellfire Captive Air Training Missiles. The retrofit and inclusion of MDE not enumerated in the original notification resulted in the total notified cost of MDE increasing to \$975 million. The total notified case value increased to \$1.71 billion.

On November 22, 2019, Congress was notified by Congressional certification transmittal number 19-0P of the retrofit of four (4) MQ-9A Block 1 to exportable MQ-9A Block 5; the addition of four (4) MQ-9A Block 5; and the addition of fourteen (14) Embedded GPS/INS (EGI) with GPS Security Devices. The retrofit and inclusion of MDE not enumerated in the original notification resulted in the total notified cost of MDE increasing to \$1.055 billion. The total notified case value remained \$1.71 billion.

This transmittal reports the addition of up to five (5) Mobile Ground Control Stations (MDE). Also included are additional ARC-210 Radios Systems (non-MDE). The inclusion of MDE not enumerated in the original notification

will result in the total notified cost of MDE increasing to \$1.0875 billion. The total notified case value will remain at \$1.71 billion.

(iv) Significance: This notification is being provided to report the inclusion of MDE and non-MDE articles and services not previously notified. Their inclusion represents an increase in capability over what was originally notified. The proposed articles and services will provide France's MQ-9 program with the equipment necessary to support capabilities that France is already employing.

(v) Justification: This proposed sale will support the foreign policy and national security of the United States by helping to improve the security of a NATO ally which is an important force for political stability and economic progress in Europe.

(vi) Sensitivity of Technology: The MQ-9A Mobile Ground Control Station (MGCS) enables a pilot to operate, in real-time, a long-endurance, medium altitude Remotely Piloted Aircraft (RPA) that can be used for surveillance, military reconnaissance, and targeting missions. A data link is maintained that uplink commands and downlink video with telemetry data. The data link can be a Line-of-Sight (LOS) C-Band communication or Beyond Line-of-Sight (BLOS) Ku-Band Satellite Communication (SATCOM). Aircraft can be handed off to other strategically placed ground control stations.

The highest level of classification of defense articles, components, and services included in this potential sale is UNCLASSIFIED.

(vii) Date Report Delivered to Congress: August 4, 2021

ARMS SALES NOTIFICATION

Mr. MENENDEZ. Mr. President, section 36(b) of the Arms Export Control Act requires that Congress receive prior notification of certain proposed arms sales as defined by that statute. Upon such notification, the Congress has 30 calendar days during which the sale may be reviewed. The provision stipulates that, in the Senate, the notification of proposed sales shall be sent to the chairman of the Senate Foreign Relations Committee.

In keeping with the committee's intention to see that relevant information is available to the full Senate, I ask unanimous consent to have printed in the RECORD the notifications which have been received. If the cover letter references a classified annex, then such annex is available to all Senators in the office of the Foreign Relations Committee, room SD-423.

There being no objection, the material was ordered to be printed in the RECORD, as follows:

DEFENSE SECURITY
COOPERATION AGENCY,
Arlington, VA.

Hon. ROBERT MENENDEZ,
Chairman, Committee on Foreign Relations,
U.S. Senate, Washington, DC.

DEAR MR. CHAIRMAN: Pursuant to the reporting requirements of Section 36(b)(1) of the Arms Export Control Act, as amended, we are forwarding herewith Transmittal No. 21-51 concerning the Navy's proposed Letter(s) of Offer and Acceptance to the Government of Japan for defense articles and services estimated to cost \$61.5 million. After this letter is delivered to your office, we plan

to issue a news release to notify the public of this proposed sale.

Sincerely,

HEIDI H. GRANT,
Director.

Enclosures.

TRANSMITTAL NO. 21-51

Notice of Proposed Issuance of Letter of Offer Pursuant to Section 36(b)(1) of the Arms Export Control Act, as amended

(i) Prospective Purchaser: Government of Japan.

(ii) Total Estimated Value:
Major Defense Equipment* \$53.0 million.
Other \$ 8.5 million.
Total \$61.5 million.

(iii) Description and Quantity or Quantities of Articles or Services under Consideration for Purchase:

Major Defense Equipment (MDE):

Up to forty-four (44) Rolling Airframe Missiles (RAM) Block 2 Tactical Missiles, RIM-116C.

Non-MDE: Also included are RAM Block 2 Guidance Control Groups; RAM Guided Missile Round Pack Tri-Pack shipping and storage containers; operator manuals and technical documentation; U.S. Government and contractor engineering, technical and logistics support services; and other related elements of logistics and program support.

(iv) Military Department: Navy (JA-P-AUN).

(v) Prior Related Cases, if any: JA-P-ATK, JA-P-AUF.

(vi) Sales Commission, Fee, etc., Paid, Offered, or Agreed to be Paid: None.

(vii) Sensitivity of Technology Contained in the Defense Article or Defense Services Proposed to be Sold: See Attached Annex.

(viii) Date Report Delivered to Congress: August 4, 2021.

* As defined in Section 47(6) of the Arms Export Control Act.

POLICY JUSTIFICATION

Japan—RAM Block 2 Tactical Missiles

The Government of Japan has requested to buy up to forty-four (44) Rolling Airframe Missiles (RAM) Block 2 Tactical Missiles, RIM-116C. Also included are RAM Block 2 Guidance Control Groups; RAM Guided Missile Round Pack Tri-Pack shipping and storage containers; operator manuals and technical documentation; U.S. Government and contractor engineering, technical and logistics support services; and other related elements of logistics and program support. The total estimated program cost is \$61.5 million.

This proposed sale will support the foreign policy goals and national security objectives of the United States by improving the security of a major ally that is a force for political stability and economic progress in the Asia-Pacific region. It is vital to U.S. national interest to assist Japan in developing and maintaining a strong and effective self-defense capability.

The proposed will provide significantly enhanced area defense capabilities over critical East Asian and Western Pacific air and sea-lanes of communication. Japan will have no difficulty absorbing these services and support into its armed forces.

The proposed sale of this equipment and support will not alter the basic military balance in the region.

The principal contractor will be Raytheon Missiles and Defense, Tucson, AZ. There are no known offset agreements proposed in connection with this potential sale.

Implementation of this proposed sale will not require the assignment of any additional U.S. Government or contractor representatives to Japan.

There will be no adverse impact on U.S. defense readiness as a result of this proposed sale.

TRANSMITTAL NO. 21-51

Notice of Proposed Issuance of Letter of Offer Pursuant to Section 36(b)(1) of the Arms Export Control Act

Annex Item No. vii

(vii) Sensitivity of Technology:

1. The RIM-116C Rolling Airframe Missile (RAM) is an autonomous (i.e., "fire and forget") lightweight, supersonic, surface-to-air tactical missile for ship self-defense against current and evolving anti-ship cruise missile threats. Advanced technology in the RIM-116C includes dualmode RF/IR (radio frequency/infrared) guidance with IR all-the-way capability for non-emitting threats.

2. The highest level of classification of defense articles, components, and services included in this potential sale is CONFIDENTIAL.

3. If a technologically advanced adversary were to obtain knowledge of the specific hardware and software elements, the information could be used to develop countermeasures that might reduce weapon system effectiveness or be used in the development of a system with similar or advanced capabilities.

4. A determination has been made that Japan can provide substantially the same degree of protection for the sensitive technology being released as the U.S. Government. This sale is necessary in furtherance of the U.S. foreign policy and national security objectives outlined in the Policy Justification.

5. All defense articles and services listed in this transmittal have been authorized for release and export to Japan.

ARMS SALES NOTIFICATION

Mr. MENENDEZ. Mr. President, section 36(b) of the Arms Export Control Act requires that Congress receive prior notification of certain proposed arms sales as defined by that statute. Upon such notification, the Congress has 30 calendar days during which the sale may be reviewed. The provision stipulates that, in the Senate, the notification of proposed sales shall be sent to the chairman of the Senate Foreign Relations Committee.

In keeping with the committee's intention to see that relevant information is available to the full Senate, I ask unanimous consent to have printed in the RECORD the notifications which have been received. If the cover letter references a classified annex, then such annex is available to all Senators in the office of the Foreign Relations Committee, room SD-423.

There being no objection, the material was ordered to be printed in the RECORD, as follows:

DEFENSE SECURITY
COOPERATION AGENCY,
Arlington, VA.

Hon. ROBERT MENENDEZ,
Chairman, Committee on Foreign Relations,
U.S. Senate, Washington, DC.

DEAR MR. CHAIRMAN: Pursuant to the reporting requirements of Section 36(h)(1) of the Arms Export Control Act, as amended, we are forwarding herewith Transmittal No. 21-55, concerning the Navy's proposed Letter(s) of Offer and Acceptance to the Government of Australia for defense articles and services estimated to cost \$350 million. After this letter is delivered to your office, we plan

to issue a news release to notify the public of this proposed sale.

Sincerely,

JEDIDIAH P. ROYAL,
Deputy Director.

Enclosures.

TRANSMITTAL NO. 21-55

Notice of Proposed Issuance of Letter of Offer Pursuant to Section 36(b)(1) of the Arms Export Control Act, as amended

(i) Prospective Purchaser: Government of Australia.

(ii) Total Estimated Value:

Major Defense Equipment* \$0 million.

Other \$350 million.

Total \$350 million.

(iii) Description and Quantity or Quantities of Articles or Services under Consideration for Purchase:

Major Defense Equipment (MDE):

None.

Non-MDE: Defense services related to the future purchase of Standard Missile 6 Block I (SM-6) and Standard Missile 2 Block IIIC (SM-2 IIIC) missiles. These services include development; engineering, integration, and testing (EI&T); obsolescence engineering activities required to ensure readiness; U.S. Government and contractor engineering/technical assistance; related studies and analysis support; and other related elements of programmatic, technical and logistics support.

(iv) Military Department: Navy (AT-P-AVY).

(v) Prior Related Cases, if any: None.

(vi) Sales Commission, Fee, etc., Paid, Offered, or Agreed to be Paid: None.

(vii) Sensitivity of Technology Contained in the Defense Article or Defense Services Proposed to be Sold: See Attached Annex.

(viii) Date Report Delivered to Congress: August 25, 2021.

*As defined in Section (47)(6) of the Arms Export Control Act.

POLICY JUSTIFICATION

Australia—Defense Services Related to Future Standard Missile Production

The Government of Australia has requested to buy defense services related to the future purchase of Standard Missile 6 Block I (SM-6) and Standard Missile 2 Block IIIC (SM-2 IIIC) missiles. These services include development; engineering, integration, and testing (EI&T); obsolescence engineering activities required to ensure readiness; U.S. Government and contractor engineering/technical assistance, and related studies and analysis support; technical and logistics support services; and other related elements of program and logistical support. The total estimated value is \$350 million.

This proposed sale will support the foreign policy and national security objectives of the United States. Australia is one of our most important allies in the Western Pacific. Australia is strategically positioned to contribute significantly to ensuring peace and economic stability in the region. It is vital to the U.S. national interest to assist our ally in developing and maintaining a strong and ready self-defense capability.

The proposed sale will support the readiness and future sale of vital anti-air warfare capability that can be deployed from Australia's newest Hunter-Class Destroyers equipped with the latest AEGIS Combat Systems. The purchase of Standard Missile 6 Block I (SM-6) and Standard Missile 2 Block IIIC (SM-2 IIIC) missiles is currently included in Australia's procurement roadmap and will improve their ability to operate alongside U.S. and Allied naval forces against the full spectrum of naval threats. Australia will have no difficulty absorbing these defense services into its armed forces.

The proposed sale of this equipment and support will not alter the basic military balance in the region.

The principal U.S. contractor will be Raytheon Missiles and Defense (RMD), Tucson, AZ. There are no known offset agreements proposed in connection with this potential sale.

Implementation of the proposed sale will require U.S. Government and contractor personnel to visit Australia on a temporary basis in conjunction with program technical oversight and support requirements, including program and technical reviews.

There will be no adverse impact on U.S. defense readiness as a result of this proposed sale.

TRANSMITTAL NO. 21-55

Notice of Proposed Issuance of Letter of Offer Pursuant to Section 36(b)(1) of the Arms Export Control Act

Annex Item No. vii

(vii) Sensitivity of Technology:

1. The proposed sale will result in the transfer of sensitive and classified technical data related to obsolescence engineering, integration, and test activities required to ensure readiness for the future procurement of Standard Missile 6 Block I (SM-6) and Standard Missile 2 Block IIIC (SM-2 IIIC) missiles. No SM-6 Blk I or SM-2 IIIC hardware or software will be transferred under this proposed sale. Australia currently employs SM-2 Block IIIA and IIIB on Royal Australian Navy (RAN) AEGIS surface combatants, and has been afforded access to similar technical information for these delivered systems.

2. The highest level of classification of defense articles, components, and services included in this potential sale is SECRET.

3. If a technologically advanced adversary were to obtain knowledge of the specific hardware and software elements, the information could be used to develop countermeasures that might reduce weapon system effectiveness or be used in the development of a system with similar or advanced capabilities.

4. A determination has been made that the Government of Australia can provide substantially the same degree of protection for the sensitive technology being released as the U.S. Government. This sale is necessary in furtherance of the U.S. foreign policy and national security objectives outlined in the Policy Justification.

5. All defense articles and services listed in this transmittal are authorized for release and export to the Government of Australia.

ARMS SALES NOTIFICATION

Mr. MENENDEZ. Mr. President, section 36(b) of the Arms Export Control Act requires that Congress receive prior notification of certain proposed arms sales as defined by that statute. Upon such notification, the Congress has 30 calendar days during which the sale may be reviewed. The provision stipulates that, in the Senate, the notification of proposed sales shall be sent to the chairman of the Senate Foreign Relations Committee.

In keeping with the committee's intention to see that relevant information is available to the full Senate, I ask unanimous consent to have printed in the RECORD the notifications which have been received. If the cover letter references a classified annex, then such annex is available to all Senators in the office of the Foreign Relations Committee, room SD-423.